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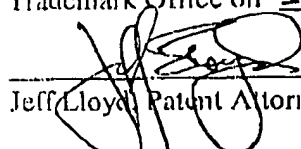
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Jeff Lloyd, Patent Attorney

REQUEST FOR REFUND
ATTN: REFUND DEPT.
Docket No. CHL-T104XC1
Serial No. 09/807,742

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Anne R. Kubelik, Ph.D.
Art Unit: : 1638
Applicants : Henry Daniell
Serial No. : 09/807,742
Filed : April 18, 2001
For : Pharmaceutical Proteins, Human Therapeutics, Human Serum Albumin Insulin,
Native Cholera Toxic B Submitted on Transgenic Plasmids

Attn: Refund Department
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Sir:

We respectfully request a refund in the application identified above for the following reason:

The application was filed as a small entity on April 18, 2001. On January 27, 2004, a Supplemental Amendment Under 37 CFR §1.111 and a Petition and Fee for a four-month Extension of Time were filed in response to the Office Action mailed August 27, 2003. On February 4, 2004, our deposit account (No. 19-0065) was charged \$1,480 (copy of statement attached hereto). Hence, Applicant is requesting a refund of \$740 according to their present small entity status.

Applicants respectfully request that a refund for the charge of \$740 be credited to deposit account 19-0065.

Respectfully submitted,

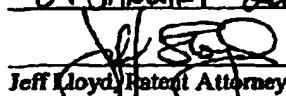

Jeff Lloyd
Patent Attorney

Registration No. 35,589
Phone No.: 352-375-8100
Address : 2421 N.W. 41st Street, Suite A-1
Gainesville, Florida 32606-6669

JL/srp

Attachments: Copy of Application Transmittal Letter; Deposit Account Statement for February 4, 2004.

I hereby certify that this correspondence is being
Facsimile transmitted to the United States Patent
and Trademark Office on the date shown below:

27 JANUARY 2004

Jeff Lloyd Patent Attorney

PETITION AND FEE FOR EXTENSION
OF TIME UNDER 37 CFR 1.136(a)
Examining Group 1638
Patent Application
Docket No. CHL-T104XC1
Serial No. 09/807,742

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Anne R. Kubelik, Ph.D.
Art Unit : 1638
Applicant : Henry Daniell
Serial No : 09/807,742
Filed : April 18, 2001
Conf. No. : 6296
For : Pharmaceutical Proteins, Human Therapeutics, Human Serum Albumin
Insulin, Native Cholera Toxic B Submitted on Transgenic Plasmids

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

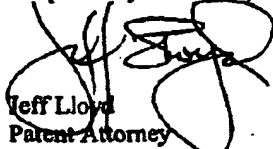
PETITION AND FEE FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Sir:

The applicant requests that the period for response be extended four months through and
including January 27, 2004. Please charge the fee of \$1005.00 to Deposit Account 19-0065.

Any additional fees as required by 37 CFR 1.16 or 1.17 should be charged to Deposit
Account No. 19-0065.

Respectfully submitted,


Jeff Lloyd
Patent Attorney
Registration No. 35,589

Phone No.: 352-375-8100
Fax No.: 352-372-5800
Address: 2421 NW 41st Street, Suite A-1
Gainesville, FL 32606-6669

2004 TTABB1 00000007 190065 09807742
1254 1480.00 DA

Adjustment date: 06/30/2004 SDIRETA1
02/04/2004 TTABB1 00000007 190065 09807742
01 FC:1254 1480.00 CR

JL/la

Attachment: Supplemental Amendment

JASH-RESPCHL-T104XC1-EXT.DOC/DNB/la

USE 272 * RCVD AT 12/27/2004 4:40:24 PM [Eastern Standard Time] * SVR:USPTO-EPXRP-17 * DNS:3726306 * CSD:352 372 5800 * DURATION (min-ss):08-18

03/30/2004 SDIRETA1 00000007 190065 09807742

01 FC:2254 740.00 DA

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**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

1465-PCT-US-00

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/US01/06288

2-28-01

3-1-00

TITLE OF INVENTION Pharmaceutical Proteins, Human Therapeutics, Human Serum Albumin, Insulin, Native Cholera Toxin B Submitted on Transgenic Plasmids

APPLICANT(S) FOR DO/EO/US


Henry Daniell

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☒ A substitute specification. - See Attached Statement
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter,2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **POSTCARD, ATTACHED STATEMENT**

| | | | | | |
|--|--------------|---|------------|---|----|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.31) | | INTERNATIONAL APPLICATION NO. PCT/US01/06288 | | ATTORNEY'S DOCKET NUMBER 1465-PCT-US-00 | |
| 21 <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | CALCULATIONS PTO USE ONLY \$ 710 00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 48 - 20 " | 28 | x \$18.00 | \$ 504 00 | |
| Independent claims | 17 - 3 " | 14 | x \$80.00 | \$ 1120 00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$270.00 | \$ 270 00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 2604 00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ 1302 00 | |
| SUBTOTAL = | | | | \$ 1302 00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 1302 00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ 1302 00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | charged: | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1302.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-3405</u> A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: <div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> IP DEPT. SCHEIDT AND MASON 300 N. 15TH ST. PHILADELPHIA, PA 19103 </div> <div style="width: 35%; text-align: right;"> SIGNATURE  T. Daniel Christenbury NAME 31,750 REGISTRATION NUMBER </div> </div> | | | | | |



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TRADEMARK OFFICE
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| Page | 1 |

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FACSIMILE COVER SHEET

SALIWANCHIK, LLOYD & SALIWANCHIK

A Professional Association
2421 N.W. 41st Street
Suite A-1
Gainesville, FL 32606

Telephone (352) 375-8100
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TO: ATTN: Refund Request

COMPANY: U. S. Patent Office

FAX NO.: (703) 308-5077

FROM: Jeff Lloyd 

DATE: March 25, 2004

NUMBER OF PAGES (INCLUDING COVER SHEET): 4

MESSAGE:

Re: Serial No. 09/807,742;; filed April 18, 2001
Pharmaceutical Proteins, Human Therapeutics, Human Serum Albumin
Insulin, Native Cholera Toxic B Submitted on Transgenic Plasmids

Attached hereto is

1. Request for Refund (1 page)
2. Copy of Application Transmittal Letter (2 pages)
3. Deposit Account Statement (1 page)

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